## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Kimoto, Norihiro et al.

Serial No.: Not Yet Assigned

Filed: Concurrently Herewith

For: ALPHA-KETO ACID REDUCTASE, METHOD FOR PRODUCING THE SAME, AND METHOD FOR PRODUCING OPTICALLY ACTIVE ALPHA-HYDROXY ACIDS USING THE SAME

Attorney Docket No.: SHZ-015

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Certificate of Express Mailing

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Date of Signature and of Mail Deposit

By:

Jeanne M. DiGiorgid Registration No. 41,710 Attorney for Applicants

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their Attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. A copy of each cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other

relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

In accordance with 37 CFR §1.97(b)(1), no additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080.

Respectfully submitted,
LAHIVE & COCKFIELD, LLP

Jeanne M. DiGlorgio Registration No. 41, 10 Attorney for Applicants

28 State Street Boston, MA 02109 (617) 227-7400

Date: July 15, 2003

APPLICANT FACSIMILE OF FORM PTO-1449  REV 7-80  LIST OF PUBLICATIONS CITE  (Use several sheets if n					SHZ-015  APPLICANT  Kim t , Norihiro t al.		Not Assigned Yet		
					FILING DATE Herewith		ROUP N/A		
			U.S. PATEN	NT DOC	UMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILIN IF APPE	G DATÉ
	A1	US 20030119173 A1	06/03	Mitsul	nashi <i>et al</i> .	435	280		
		FO	REIGN PAT	TENT DO	OCUMENTS				
		DOCUMENT NUMBER	DATE		COUNTRY CLASS		SUBCLASS	TRANSLATION	
	A2	JP 57-198096	12/82	Japan	<u> </u>			YES Abstr.	T
	A3	JP 57-198097	12/82	Japan				Abstr.	
	A4	JP 63-032492	02/88	Japan	1			Abstr.	
	A5 A6	OTHERS (included Kallwass "Potential of stereospecific reductions")	R-2-hydrox	yisocapr ne Microl	ate, Pertinent Paroate dehydroger	nase from <i>La</i> 2;14:28-35			
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\*EXAMINER:

Examiner

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Date Considered**